

## INVITED LECTURE

# Parkinson's Disease: Past, Present, and Future

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*The development of understanding of the pathophysiology and modes of treatment of Parkinson's disease represents one of the triumphs of modern medicine, encompassing astute clinical observation, utilization of basic research findings regarding dopamine to develop the first rational treatment of a degenerative disorder of the central nervous system, and remains at the frontiers of neurologic science. After characterization of the clinical and pathologic features of Parkinson's disease, rational treatment awaited the discovery of the deficit in basal ganglia dopamine. On the basis of this observation and the known biosynthetic pathways for dopamine formation, levodopa was introduced. Use of metabolic inhibitors to prolong and potentiate the effects and avoid the deleterious side effects of levodopa enhanced the efficacy of this neurotransmitter replacement strategy. The discovery and characterization of dopamine receptor subtypes and the availability of selective dopamine agonists provided additional therapeutic approaches, but failed to address the underlying cause of the degenerative*

*process. The discovery and disclosure of the mechanisms of toxicity of the relatively selective nigrostriatal neurotoxin, 1-methyl-4-phenyl-1,2,5,6-tetrahydropyridine (MPTP), triggered a resurgence of interest in etiological factors which might contribute to the development of parkinsonism and together with the report that inhibition of monoamine oxidase B with deprenyl not only potentiated the effects of levodopa, but appeared to prolong the life of parkinsonian patients, resulted in a large-scale trial of drugs that might arrest the degenerative process. Furthermore, the MPTP primate model of Parkinson's disease has encouraged development of fetal mesencephalic and other tissue implant approaches to reversal of parkinsonism. Although much of this is still in the experimental stages, hopes are high that new and more effective therapies will be developed and that similar techniques might be applicable to a wide variety of neuropsychiatric disorders.*

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Our understanding of the causes and treatments of neurologic disorders is advancing at an increasingly rapid pace. Clinical neurology was born in the 19th century, and the tenet that the symptoms of these diseases can be explained by the attending brain pathology was first

established during the last third of that century. Yet progress was slow and often prodded only as a result of an accident or a serendipitous finding. During the last 30 years, however, the extraordinary explosion of knowledge of the neurochemical anatomy and molecular biology of the brain has revolutionized approaches to understanding the pathophysiology and treatment of some neurologic disorders. The story of progress in Parkinson's disease is particularly interesting because it illustrates so well the influence of events, sometimes tragic, as well as of scientific advances, that creates opportunities for new research efforts. It is my purpose to provide a historical perspective on Parkinson's disease and the events that have helped to propel our advances in understanding the disorder, to summarize

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our present state of knowledge, and to indicate the directions of future research.

### CHARACTERIZING PARKINSON'S DISEASE

In a classic monograph, "An Essay on the Shaking Palsy," published over 175 years ago, the London physician, James Parkinson (1817), first described a "series" of six patients suffering from the movement disorder which came to bear his name. In an age when physical examinations had not yet been developed as a basis for diagnosis, his astute observations and graphic descriptions were sufficiently detailed to make the diagnosis inescapable. His characterization of its insidious onset, "that it rarely happens, that the patient can form any recollections of the precise period of its commencement," of "a slight sense of weakness, with a proneness to trembling in some particular part . . . most commonly in one of the hands or arms" is still the best description of the earliest symptoms of Parkinson's disease. "Involuntary tremulous motion, with lessened muscular power, in parts not in action and even when supported: with a propensity to bend the trunk forward, and to pass from a walking to a running pace: the senses and the intellect being unimpaired" was his summary of the characteristic features of Paralysis Agitans. Its inexorable progression so that "the tremulous motion of the limbs occur during sleep and augment until they overwhelm the patient, and frequently with much agitation and alarm" and the ultimate helplessness, "the power of conveying the food to the mouth is at length so much impeded that he is obliged to consent to be fed by others," are strikingly portrayed.

During the remainder of the 19th century, additional features of the disease were described. As physical examinations became part of the study of patients, muscular rigidity and "cogwheeling" were noted by Trousseau (1867). The great French neuropathologist and neurologist, Jean-Martin Charcot, delineated the "pill rolling" parkinsonian tremor from other causes of tremor. He also noted that the patients were not weak, that some may have little or no tremor, and that absence of facial expression (masked facies) is a striking feature of the disorder (Charcot 1871; Goetz 1986). Charcot deemed inappropriate the terms "paralysis agitans" or "shaking palsy" and it was he who named the disorder Parkinson's disease.

Although the clinical characteristics were well described, pathological features of Parkinson's disease were not defined clearly until the 20th century and many, including Charcot, considered the disorder to be "functional," that is, a neuropsychiatric disorder. At the beginning of this century, Lewy (1913) first described the eosinophilic inclusion bodies that are characteristic of Parkinson's disease, although these are now recognized as occurring also in other degenerative dis-

eases of the nervous system. Parkinson's disease was considered to be uncommon and was given little attention until its frequent occurrence as a sequel to von Economo's encephalitis lethargica (von Economo 1931), which reached epidemic proportions between 1918 and 1921. Study of the pathology of this disease led to the discovery by Tretiakoff (1919) that depigmentation of the substantia nigra is a consistent feature of parkinsonism. Loss of the neurons in this area then became recognized as characteristic of parkinsonism, whether the disorder was a result of viral infection, exposure to toxins, anoxia, or from unknown causes. Only 40 years ago, however, it was thought that "in view of widespread changes elsewhere in such cases it is difficult to relate the Parkinsonian syndrome to a lesion located in one situation" (Brain 1951).

### EMPIRICAL TREATMENT OF PARKINSON'S DISEASE

The first pharmacotherapy for Parkinson's disease was introduced over a century ago by Ordenstein (1867). Belladonna alkaloids were administered as a means for controlling the annoying drooling described by Parkinson, "the saliva fails of being directed to the back part of the fauces and hence is continually draining from the mouth." Unexpectedly, the drugs also improved the movement disorder. Although the beneficial effects are only modest (20% to 30%) and are obtained in only some (60% to 80%) of the patients, they remained the mainstay of antiparkinsonian medication for over four generations. To obtain greater specificity in controlling rigidity and tremor and to reduce undesirable side effects, synthetic anticholinergic drugs, such as benzotropine mesylate (Cogentin) and trihexylphenidyl (Artane), were introduced about 70 years later (Corbin 1949; Dorshay et al. 1949). It is generally accepted that these drugs act at muscarinic receptors in the striatum, presumably to balance excessive cholinergic activity, but even with current advances in our understanding of the striatal neurotransmitters and neuronal pathways, the exact mechanism of these drugs remains uncertain. Amphetamine had also been found to reduce rigidity (Solomon et al. 1937) and benadryl, an antihistamine with some anticholinergic effects, had been recommended (Budnitz 1948). Apomorphine, a drug that was best known as an emetic, serendipitously was found to be of use in parkinsonism (Schwab et al. 1951). With advances in our understanding of basal ganglia function, the basis for efficacy of these agents has become clearer.

### SURGICAL TREATMENT OF PARKINSON'S DISEASE

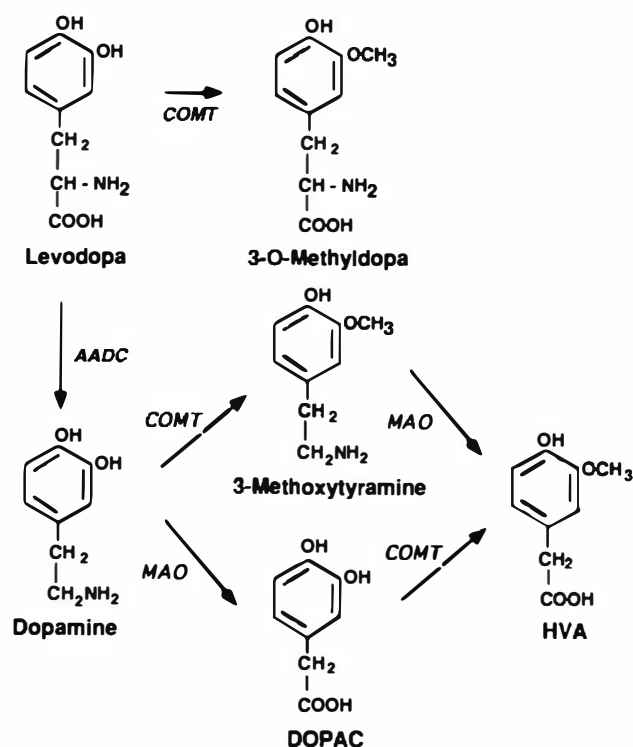
The clinical observation that after a cerebrovascular accident, parkinsonian tremors become arrested on the

side with hemiplegia led to the notion that surgical lesions of the brain might be useful in treating Parkinson's disease. However, early trials showed that lesions in the cortex or descending pyramidal tracts arrested tremor only at the expense of paralysis. Other attempts at surgical treatments were also generally unsuccessful until Cooper (1961) accidentally discovered that ligation of the anterior choroidal artery abolished parkinsonian tremor and rigidity without causing paralysis. Subsequent studies defined clinical criteria for acceptance for surgery and described the specific regions to be targeted to alleviate tremor or rigidity (Cooper 1965). The advent of levodopa treatment, however, eclipsed further exploration of the surgical approaches to treatment of Parkinson's disease. Recently, however, neurosurgical approaches have become increasingly promising in having a role in the treatment of this movement disorder.

### DOPAMINE AND RATIONAL TREATMENT OF PARKINSON'S DISEASE

The introduction of a rational treatment of Parkinson's disease and our current understanding of the pathophysiology of parkinsonian syndrome are attributable to the remarkable advances in the neurosciences that have occurred during the last 35 years. In 1958, Carlsson and others (Carlsson et al. 1958; Bertler and Rosengren 1959) showed that dopamine was present in the brain, with highest concentrations in the striatum. They demonstrated, in mice and in rabbits, that brain dopamine is depleted by reserpine, and that administration of 3,4-dihydroxyphenylalanine (DOPA) dramatically reverses reserpine-induced tranquilization, Parkinson-like motor deficits, and ptosis. They showed also that pretreatment with a monoamine oxidase (MAO) inhibitor potentiated DOPA in reversing reserpine effects. These observations and the uneven distribution of catecholamines in brain suggested that these amines function as neurotransmitters and that dopamine is involved in control of motor activity. Several years later, the greatly reduced (to about one tenth normal) concentrations of dopamine in the caudate, putamen, and substantia nigra of brains from parkinsonian patients was reported (Ehringer and Hornykiewicz 1960). Shortly thereafter, by use of the newly developed histochemistry techniques to visualize catecholamines in neurons and their processes, various catecholamine cell groups were identified and their projections demonstrated (Anden et al. 1964; Lindvall and Bjorklund 1964). The previously unknown nigrostriatal dopamine-containing tract, with cell bodies in the substantia nigra pars compacta (SNc) and axonal projections to the striatum, explained the relationship between neuron loss in the SNc and dopamine depletion in the striatum.

These observations were seminal to understanding the pathophysiology of parkinsonian syndromes and encouraged attempts to pharmacologically replace the missing neurotransmitter. Because it was known that dopamine does not penetrate the blood-brain barrier but that DOPA, its amino acid precursor, reversed the behavioral effects of reserpine and elevated brain dopamine levels, it was apparent that this amino acid readily enters the brain and is decarboxylated (Fig. 1). Initial efforts at dopamine substitution with DOPA failed because of severe side effects, particularly nausea and vomiting. However, by gradually increasing the doses of DOPA and thereby avoiding or reducing adverse side effects, Cotzias et al. (1967, 1969) succeeded in administering high doses of DOPA and achieved remarkable symptomatic improvement. It appeared that Parkinson's disease was mostly a result of dopamine deficiency. Although other biochemical abnormalities, such as diminished levels of serotonin, norepinephrine, gamma-aminobutyric acid (GABA) and glutamate decarboxylase, have been found in brains of



**Figure 1.** Formation and metabolism of dopamine. Dopamine is formed by the decarboxylation of levodopa mediated by the relatively nonspecific enzyme, AADC. Dopamine is readily decarboxylated by either subtype (A or B) of MAO to form dehydroxyphenyl acetic acid (DOPAC). All three catechols (levodopa, dopamine, and DOPAC) are substrates for catechol-O-methyl transferase (COMT), forming 3-O-methyldopa, 3-methoxytyramine, and homovanillic acid, respectively. The pathway of formation of homovanillic acid and by deamination of methoxytyramine is minor.

parkinsonian patients, the deficiencies are not as striking as the loss of dopamine. The physiologic isomer of DOPA, levodopa, was introduced and strategies were then focused on enhancing the efficacy of levodopa treatment.

Levodopa administered orally must pass from the lumen of the intestine into the hepatic and systemic circulations. Entry into the brain parenchyma requires transfer from blood through the endothelial cells lining the capillaries. Because considerable amounts of the decarboxylating enzyme, aromatic amino acid decarboxylase (AADC), are present in the intestinal wall, the liver, the kidneys, and the brain capillary endothelium, a good deal of the administered levodopa can be decarboxylated at these sites. Selective inhibition of extracerebral AADC was therefore explored as a means for enhancing levodopa efficacy. Two AADC inhibitors, carbidopa and benserazide, were found that could be administered in doses that affect only extracerebral AADC (including that of the brain capillaries) and were shown to be useful adjuncts to levodopa treatment (Papavasiliou et al. 1972; Pletscher 1973; Pinder et al. 1976). These drugs increase bioavailability of levodopa by enhancing levodopa absorption from the intestine, preventing its decarboxylation in the peripheral tissues and inactivating the brain capillary enzymatic barrier to levodopa. A similar strategy, using new inhibitors of catechol-O-methyl transferase, an enzyme involved in the metabolism of catechols (Fig. 1) is currently being explored as a means for potentiating dopamine and/or a levodopa sparing effect.

The antiparkinsonian effects of levodopa are generally stable and reasonably predictable for the first several years, but after some time, dyskinesias, fluctuations in efficacy ("on-off responses" and "wearing off"), freezing, mental changes, and loss of efficacy (requiring higher levodopa doses and inviting more side effects) emerge. Mechanisms that have been cited as contributing to these adverse effects include progression of the disease with diminished decarboxylation of levodopa to dopamine in brain, inability to regulate extracellular dopamine concentration by uptake into dopaminergic terminals, alterations in levodopa pharmacokinetics, and changes in dopamine receptor sensitivity and responsivity. On the basis of these hypotheses, during the 1970s and 1980s, several strategies were explored to restore levodopa efficacy and to avoid adverse effects. Dosages and times of levodopa administration were manipulated, slow release formulations or continuous intravenous infusions to stabilize levodopa plasma levels, and drug "holidays" to allow for normalization of dopamine receptors and potentiation were explored and prolongation of the effects of dopamine was attempted by inhibiting MAO. Although some improvements in symptomatic management were obtained, none of these modifications of levodopa therapy have been universally satisfactory. The common

failures encountered with long-term levodopa treatment encouraged exploration of alternatives to levodopa.

Alleviation of dopamine deficiency by finding other means of stimulating dopamine receptors seemed feasible. It was recognized that the parkinsonian side effects of some antipsychotic drugs might be related to blockade of dopamine receptors and reasoned that stimulation of these receptors would reverse parkinsonian symptoms.

## DOPAMINE RECEPTOR AGONISTS

Dopamine receptor agonists and antagonists were first discovered because of their ability to evoke or block behavioral or endocrine effects associated with dopamine. Some ergot derivatives, for example, bromocriptine, were found to inhibit prolactin secretion, whereas drugs that depleted dopamine (reserpine) or had antipsychotic effects (chlorpromazine) enhanced prolactin secretion. When it appeared that dopamine agonists might be useful in treating Parkinson's disease, several ergot derivatives were tested and found to be effective. The actions of these drugs (e.g., bromocriptine, lisuride, pergolide) are not identical, and they do not mimic dopamine precisely, presumably because they each interact differently, from dopamine and from each other, with dopamine receptor subtypes.

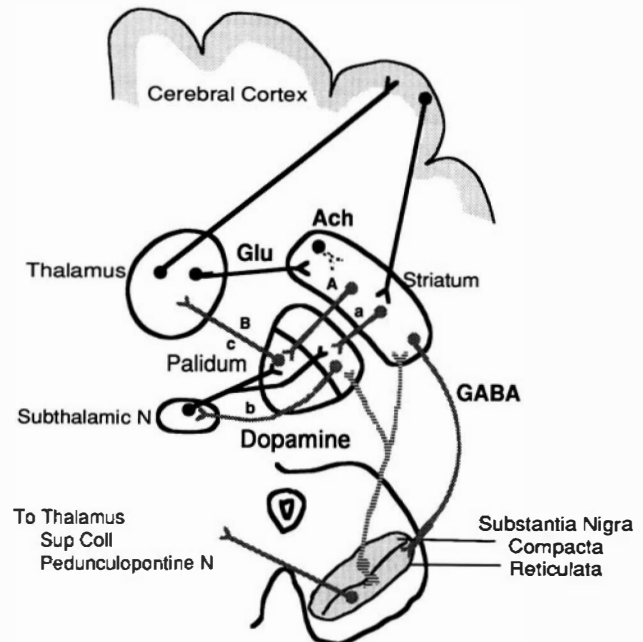
### Dopamine Receptor Subtypes

Nearly 15 years ago, dopamine receptors were classified into two subtypes (Kebabian and Caine 1979); stimulation of D<sub>1</sub> receptors activated adenylyl cyclase and thereby enhanced cyclic adenosine monophosphate (AMP) production, whereas stimulation of D<sub>2</sub> receptors failed to affect adenylyl cyclase or even diminished cyclic AMP formation. Subsequent demonstration of two types of binding sites for radioactively labeled dopamine agonists and antagonists confirmed the division of dopamine receptors into at least two categories. The demonstration in brain of anatomically distinct binding sites for radioactively labeled dopamine agonists and antagonists further supported the division of dopamine receptors into at least two categories (Young and Penney 1989). The distinction between the two subtypes of dopamine receptors also made possible the characterization of dopamine agonists with regard to their actions at these receptor subtypes. Dopamine D<sub>2</sub> receptor stimulating properties appear to be important for antiparkinsonian effects; however, there are significant D<sub>1</sub>-D<sub>2</sub> synergistic interactions so that drugs that have some degree of D<sub>1</sub> agonist activity have some advantages. Within the last 3 years, not only have the D<sub>1</sub> and D<sub>2</sub> receptor subtypes been cloned (Bunzow et al. 1988; Dearry et al. 1990; Zhou et al. 1990; Monsma et al. 1990), but three additional dopamine receptor subtypes have

been characterized (Sokoloff et al. 1990; Van Toi et al., 1991; Sunahara et al. 1991). The D<sub>3</sub> and D<sub>4</sub> receptors, resemble the D<sub>2</sub> subtype, whereas the D<sub>5</sub> resembles the D<sub>1</sub>. Five different alleles of the D<sub>4</sub> receptor have been identified in humans (Van Toi et al. 1992), but the significance of these differences has yet to be established. It is possible that one or more dopamine agonists which act directly on dopamine receptor subtypes, and therefore independently of degenerated dopaminergic neurons, might provide advantages in the control of symptoms in parkinsonian patients who can no longer respond to the indirectly acting levodopa.

## PATHWAYS THROUGH THE BASAL GANGLIA

To better understand how dopamine may affect motor control, it is necessary to define the role of the basal ganglia in modulating cortical motor function. The basal ganglia are components of parallel cortical-subcortical-cortical circuits that are important in the control of movements. The connections between the cortex, basal ganglia, substantia nigra, and subthalamic and thalamic nuclei have been traced, and compartmental organization and biochemical characteristics of the striatum described (Parent 1990; Alexander and Crutcher 1990; Graybiel 1990; Gerfen 1991, 1992). The basic circuits and their neurotransmitters/modulators are shown in Figure 2. Glutamatergic excitatory neurons that project from the cortex arborize and form synapses with dendrites on the medium spiny GABAergic neurons, which constitute over 90% of the neurons in the striatum. The striatal inhibitory neurons innervate the inner layer of the globus pallidus (GPi) in primates (or the entopeduncular nucleus in rodents) and the neurons of the substantia nigra reticulata (SNr). The target neurons of this "striatonigral" projection are also GABAergic and send inhibitory axons to the thalamus where they innervate excitatory glutamatergic neurons which project to the cortex. These four neurons (corticostriatal, striato-pallidal or -nigral, pallido- or nigro-thalamic, and thalamocortical) constitute direct cortical-subcortical-cortical circuits (Fig. 2); the two inhibitory synapses account for disinhibition and activation of descending motor neurons. There is another, parallel GABAergic projection from the striatum to the external layer of the globus pallidus (GPe). The GABAergic neurons from the GPe innervate glutamatergic excitatory neurons in the subthalamic nucleus. The subthalamic neurons innervate GABAergic neurons in the GPi and thus provide an alternative, indirect, five-neuron cortical-subcortical-cortical circuit (Fig. 2); the three inhibitory synapses have a net inhibitory effect, inhibiting disinhibition. Smooth and efficient motor control requires coordination and balance of the output of these opposing pathways attained by modulatory mechanisms that are only beginning to be understood in terms of the organization and special characteristics of subpopulations



**Figure 2.** Diagrammatic representation of the cortical-basal ganglia-thalamo-cortical neuronal circuits and their modulation by intrastriatal cholinergic and nigrostriatal dopaminergic neurons (see text).

of the neurons and the neuromodulators that regulate the output of these pathways.

Acetylcholine and dopamine are among the best characterized of these modulators. A network of "striosomes" or "patches," which makes up 10% to 20% of the striatal volume, in contrast to the matrix, is characterized by low density of acetylcholine esterase (AChE). A relatively small number of large aspiny striatal neurons that contain choline acetyltransferase presumably are cholinergic. Both the dendrites and the axonal arborizations of these neurons reach a relatively large surrounding volume and presumably have a role in modulating striatal function. It is likely that the beneficial antiparkinsonian effects of anticholinergic agents are due to actions at cholinergic synapses innervated by these neurons. Dopaminergic neurons project from the SNc to the striatum where they form a dense network of synaptic contacts. Dopamine is released at many sites and dopamine receptors are present on the axons, dendrites, and somata of neurons which constitute these circuits. Thus, there is ample opportunity for dopaminergic modulation of nerve activity through these pathways. There are also important functional interactions between D<sub>1</sub> and D<sub>2</sub> receptors. The complexity of interconnections via neuronal networks, feedback circuits, and D<sub>1</sub>-D<sub>2</sub> receptors on the same cell defies simple analysis, but it is evident that the net effects of dopamine deficiency produce parkinsonian symptoms. Release of dopamine by amphetamine, the action of apomorphine on dopamine recep-

tors, and the action of amantidine on dopamine disposition probably explain their antiparkinsonian affects.

During the last 20 years the neuroanatomic and pharmacologic basis for symptomatic treatment of parkinsonian syndromes by dopamine and dopamine agonists has become better understood. Although initially effective, levodopa has well-recognized limitations; improvements in therapy are still required to control symptoms after levodopa failure. Prevention or arrest of the degenerative process, not addressed by symptomatic treatments, is receiving increasing attention.

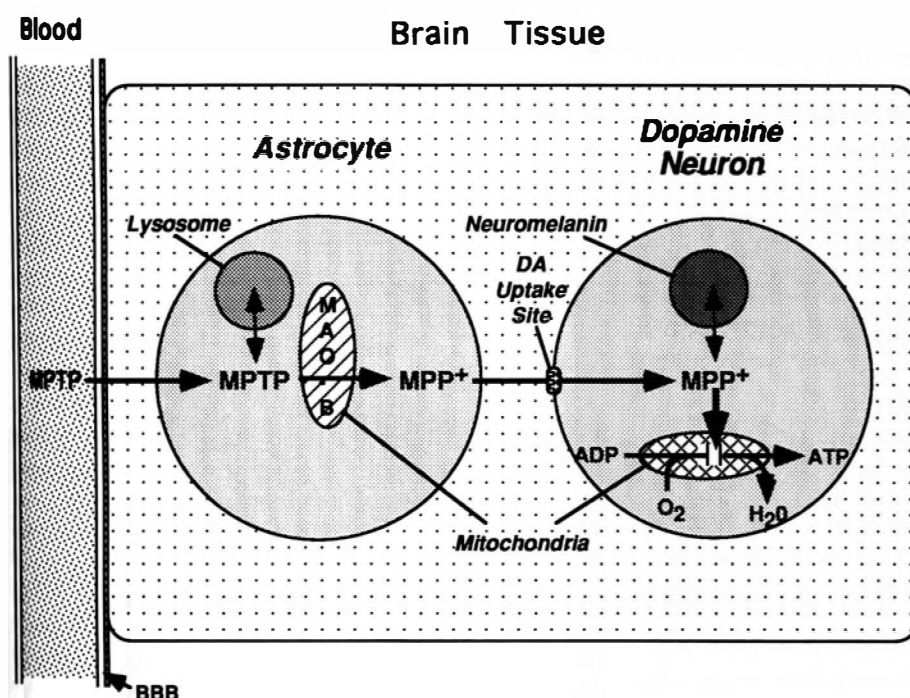
### DISCOVERY OF A TOXIN THAT CAUSES PARKINSONISM

Approximately 10 years ago, a neurotoxin that was destined to change the focus of Parkinson's disease research was first recognized. The first reported case of parkinsonism resulting from 1-methyl-4-phenyl-1,2,3,6-tetrahydropyridine (MPTP) was that of a 23-year-old student who was referred to the National Institutes of Health (NIH) because of a history of multiple drug abuse and the rapid development of severe parkinsonism (Davis et al. 1979). The young man had synthesized on several occasions alphaprodine, an analogue of demerol, which he had used intravenously, often with cocaine. Carelessness in synthesis and purification resulted in production of a side reaction product, MPTP, which was a major component of the impure demerol analogue. After several daily self-administered doses of the impure narcotic, he was found mute and immobile. The initial diagnosis of catatonic schizophrenia resulted in hospitalization in a mental institution, but his striking rigidity subsequently led to a suspicion of severe parkinsonism; this was confirmed by successful treatment with L-DOPA/carbidopa and the patient was referred to NIH. After admission, the history of drug synthesis and use was elicited and the components of the drug mixture discovered. The motor deficits were indistinguishable from Parkinson's disease, and his cerebrospinal fluid levels of homovanillic acid, the major metabolite of dopamine, were markedly lower than normal, consistent with the clinical diagnosis. After discharge, he continued abusing drugs and was found dead from an overdose of cocaine and demerol. Examination of the brain showed severe degeneration of the nigrostriatal neurons, neurochemical evidence of dopaminergic deficit, and a single eosinophilic body in the region of the substantia nigra.

Administration to rats, rabbits, or guinea pigs of MPTP, its precursor or alphaprodine, alone or in combination, failed to produce a toxic motor deficit (Chiueh et al. 1984). Several years after the initial case, there surfaced in California a cluster of patients with toxic par-

kinsonism due to MPTP (Langston et al. 1983), also apparently as a result of an attempted illicit synthesis of alphaprodine.

With the knowledge of the resistance of rodents to toxicity of MPTP, the compound was now administered to rhesus monkeys, and these animals were found to be vulnerable to the toxin (Burns et al. 1983). Daily intravenous administration of several relatively small doses of MPTP was followed by the rapid development over a few days of stooped posture, marked bradycardia, tremor, and rigidity. Initially, there were marked decreases in the cerebrospinal fluid levels of homovanillic acid, 3-methoxy-4-hydroxyphenylglycol, and 5-hydroxyindoleacetic acid, the major metabolites of dopamine, norepinephrine, and serotonin, respectively, but only the levels of homovanillic acid remained low after 1 month. All of the motor deficits were reversed temporarily by the administration of L-DOPA. When the brains of these animals were examined, there was almost complete destruction of the neurons in the substantia nigra and marked depletion of dopamine in the striatum. Other brain regions with dopaminergic or noradrenergic neurons were relatively unaffected by the toxin. Thus MPTP appeared to specifically target dopaminergic neurons of the nigrostriatal pathway. A similar parkinsonian syndrome in squirrel monkeys (Langston et al. 1984), marmosets (Jenner et al. 1984), and other primate species was found to be caused by MPTP. Although rats, guinea pigs, rabbits, and gerbils appear to resist MPTP toxicity, when administered repeatedly in high doses, mice were found to be vulnerable to the toxin; but in mice, both noradrenergic neurons and dopaminergic neurons throughout the brain as well as the nigrostriatal neurons are affected (Hallman et al. 1984; Heikkila et al. 1984). The mouse, however, has been widely used to examine the mechanisms of MPTP toxicity. The discovery that 1-methyl-4-phenylpyridinium (MPP<sup>+</sup>) is formed and retained in the brains of monkeys treated with MPTP (Markey et al. 1984) suggested that biotransformation (Fig. 3) of the administered compound to its oxidized metabolite was important for toxicity. High concentrations of MPP<sup>+</sup> found in regions of brain which escape extensive damage indicate that dopaminergic neurons of the SNc are peculiarly vulnerable to the toxic effects of MPP<sup>+</sup>. Conversion of MPTP to MPP<sup>+</sup> is mediated by MAO type B. Rat brain mitochondria were found to oxidize MPTP to MPP<sup>+</sup>, and the oxidation was found to be prevented by deprenyl, a specific MAO-B inhibitor, but not by clorgyline, a specific MAO-A inhibitor (Chiba et al. 1984). In monkeys, pretreatment with pargyline, a nonspecific MAO inhibitor, or deprenyl prevents both the accumulation of MPP<sup>+</sup> and the toxic effects of MPTP (Markey et al. 1984; Langston et al. 1984b; Cohen et al. 1985). In mice also, pretreatment with inhibitors of MAO prevents striatal dopamine depletion



**Figure 3.** Mechanisms involved in the neurotoxicity of MPTP. MPTP readily penetrates the blood brain barrier and is immediately captured into acidic organelles (lysosomes) in astrocytes from which it enters the cytoplasm to reach mitochondrial MAO (type B) that oxidizes it to MPP<sup>+</sup>. The MPP<sup>+</sup> leaches in the extracellular fluid from which it is actively transported by the catecholamine transporters into the neurons. The changed amine is accumulated in neuromelanin-containing organelles and is further concentrated into mitochondria where it blocks mitochondrial respiration and causes depletion of adenosine triphosphate. In vulnerable neurons, this accumulation leads to cell death (see text).

(Heikkilä et al. 1984b). A number of studies have shown that MAO-B is localized to astrocytes and serotonergic neurons, whereas MAO-A is present in catecholaminergic neurons, so that MAO-B-mediated MPTP oxidation to MPP<sup>+</sup> does not take place in the catecholamine-containing neurons. Formation of MPP<sup>+</sup> is necessary but not sufficient for manifestation of the toxic effects in animals. Although, *in vitro*, MPP<sup>+</sup> is toxic to a variety of noncatecholaminergic cells, *in vivo*, MPTP toxic effects are limited to catecholaminergic neurons. The specificity for catecholaminergic neurons may be accounted for by the observation that MPP<sup>+</sup> is a substrate for the catecholamine transporters (Fig. 3). Synaptosomes prepared from rat striatum (Javitch and Snyder, 1984) or cortex (Javitch et al. 1985) accumulate <sup>3</sup>H-MPP<sup>+</sup>, but not <sup>3</sup>H-MPTP. The uptake of <sup>3</sup>H-MPP<sup>+</sup> by striatal synaptosomes is blocked by inhibitors of dopamine uptake, and with potencies which are well correlated with blockade of dopamine uptake. Also, the potencies of several drugs in blocking cortical synaptosome <sup>3</sup>H-norepinephrine uptake are similar to their potencies in blocking <sup>3</sup>H-MPP<sup>+</sup> uptake. In mice, those drugs that block norepinephrine transport protect noradrenergic neurons against the toxic effects of MPTP; those drugs that block uptake of dopamine protect dopaminergic neurons. Perhaps because of the long persistence of MPP<sup>+</sup> in primate brain, it has been more difficult to demonstrate a protective effect of dopamine transport blockers on prevention of MPTP toxicity in

primates. Studies with MPTP analogues have supported the view that neurotoxicity is dependent on the ability of a protoxin to penetrate the blood-brain barrier, to be converted by MAO (usually, but not necessarily, type B), and to be concentrated by the amine transporters in the target neurons.

The molecular basis of toxicity has been studied mostly in cultured cells. Initially, it was speculated that the toxicity of MPP<sup>+</sup> was, like a structurally similar toxic herbicide, paraquat (1-1'-dimethyl-4,4'-bipyridinium), a result of free radical cycling with generation of excess superoxide ions (O<sub>2</sub><sup>-</sup>). Normally superoxide dismutase converts superoxide ions to hydrogen peroxide and water, and catalase decomposes the hydrogen peroxide to water and oxygen. If excess superoxide and hydrogen peroxide accumulate, particularly in the presence of bivalent metal ions, such as, Fe<sup>2+</sup>, the highly reactive hydroxyl free radical (OH·) is formed. Glutathione, α-tocopherol, ascorbic acid, and other soluble reducing substances provide protection against oxidative damage, but if these are overloaded, cell components essential for life (membrane lipids, deoxyribonucleic acid, DNA, cofactors, etc.) are disrupted; metabolic and transport processes fail and death follows. Although some indirect evidence for the involvement of free radicals in MPP<sup>+</sup> toxicity has been obtained, it is highly unlikely that redox cycling of MPP<sup>+</sup> is involved; however, free radical formation by other mechanisms has not been excluded.



The currently favored hypothesis for molecular basis for MPP<sup>+</sup> toxicity is inhibition of mitochondrial respiration (Singer and Ramsay 1990; Kopin 1992). In addition to its accumulation by amine transporters in dopaminergic neurons, MPP<sup>+</sup> is further concentrated into mitochondria where it inhibits the electron transport at complex I. This interference with energy metabolism and the possible enhanced generation of free radicals as a result of partial reduction of oxygen is believed to cause the cell damage and to disrupt vital processes essential for cell survival.

The attention attracted by the discovery of MPTP and its mechanisms of toxicity has had an enormous influence on the directions of research on Parkinson's disease. Recognition and understanding of the mechanisms of toxicity of MPTP provided clues as to the pathogenesis of Parkinson's disease, and the availability of so faithful an animal model of human parkinsonism has opened opportunities for exploration of new therapies and approaches to preventing or arresting the degenerative process.

### FOCUS ON PATHOGENESIS AND NEUROPROTECTION

Although the primary cause of Parkinson's disease is unknown, a number of clues have suggested that a combination of hereditary and environmental factors may affect survival of dopaminergic neurons.

#### Environmental Neurotoxins

The occurrence of MPTP-induced parkinsonism in at least one chemist who had synthesized large quantities of the compound (Burns et al. 1985) proved that it was possible that sporadic cases of Parkinson's disease could result from exposure to an environmental toxin. Extensive searches for environmental or endogenous toxins have yielded provocative epidemiologic evidence in support of a neurotoxin in the environment (Tanner and Langston 1990), but no such toxins have been identified.

#### Oxidative Stress

Exploration of the processes involved in MPTP toxicity rekindled interest in previously proposed mechanisms of nigrostriatal degeneration. Oxidation of catecholamines, whether by autoxidation of the catechol moieties to hydroquinones or quinones, or by enzymatic oxidative deamination results in the formation of reactive oxygen species (superoxide anion, hydroxyl radical, and hydrogen peroxide). Transitional metals such as manganese and iron catalyze the transfer of electrons

during the partial reduction of oxygen, and the coincidence of high dopamine and iron contents in the SNc has encouraged hypotheses linking free radical formation with Parkinson's disease (Graham 1984; Cohen 1985). Considerable evidence has been accumulated to support the view that oxidative stress and free radical formation catalyzed by iron might play an important role in the pathogenesis of Parkinson's disease (Jenner 1991). When dopamine release is enhanced, levels of oxidized glutathione increase; this index of oxidative stress attending dopamine release can be prevented by pretreatment with an inhibitor of MAO-B (Cohen and Spina 1989). Increased lipid peroxidation in the substantia nigra of parkinsonian patients (Dexter et al. 1989), supports the notion that free radicals have damaged the dopaminergic neurons in the nigrostriatal pathway. Particular vulnerability of the SNc to oxidative stress may result from deficiencies in protective mechanisms. Levels of superoxide dismutase are reported to be elevated, whereas levels of glutathione and glutathione peroxidase have been reported to be abnormally low in the substantia nigra of Parkinson's disease patients (Saggu et al. 1989; Perry and Yong 1986). Conversion of superoxide and hydrogen peroxide to the highly reactive hydroxyl ion (Haber-Weiss reaction) is normally slow, but in the presence of iron, the reaction is rapid. Post-mortem levels of iron, particularly ferric ion, are increased in the substantia nigra of parkinsonian patients (Sofic et al. 1991; Dexter et al. 1991), but the iron appears to be deposited in astrocytes, macrophages, reactive glia, and nonpigmented neurons (Hirsch et al. 1991). Thus, although there appears to be increased iron deposited in the substantia nigra of parkinsonian patients, it is unclear if this is a result of the neuronal degeneration or due to an abnormality in iron metabolism.

Selectivity of MPTP and of the degenerative process in Parkinson's disease for melanin-containing neurons suggests that conditions that predispose to melanin formation may be responsible for vulnerability of these cells to the degenerative process, or that neuromelanin plays a role by producing free radicals or by storing a neurotoxin that is slowly released and has a more prolonged toxic effect on the cells in which it is stored. Neuromelanin is a complex redox polymer that contains free radicals; it has also been proposed that neuromelanin-iron interactions might account for the vulnerability of pigmented neurons to oxidative stress (Ben-Shachar et al. 1991).

#### Mitochondrial Abnormalities

Deficiencies in mitochondrial electron transport similar to those caused by MPP<sup>+</sup> have been reported in



platelets and in muscle as well as in substantia nigra of parkinsonian patients, but it is claimed that the mitochondrial defect is confined to the substantia nigra (Mann et al. 1992). Such mitochondrial abnormalities, however, are not necessarily primary etiological factors; they might be secondary to other metabolic deficits. Free radicals formed during reversible inhibition of complex I appear to inhibit irreversibly electron transport at complex I (Cleeter et al. 1992). Thus, excess free radicals produced from any source might be responsible for the deficiency in complex I activity reported in Parkinson's disease.

### Neuroprotective Agents to Arrest the Degenerative Process

Selective inhibition of MAO-B with deprenyl was introduced to explore its use in the management of fluctuating responses to levodopa/carbidopa and in diminishing the "on-off" effects, as well as to prolong and potentiate the efficacy of levodopa treatment. Birkmayer et al. (1985), however, reported that patients who had received deprenyl along with levodopa therapy appeared to live longer than those treated with levodopa alone. This report, the observation that MPTP toxicity can be prevented by inhibition of MAO-B with deprenyl, and the newly emerging hypotheses regarding the role of oxidative stress, provided the rationale for prospective, randomized, double-blind studies to examine the possibility that deprenyl alone or in combination with an antioxidant, tocopherol, might retard the progression of the degenerative process of Parkinson's disease.

Deprenyl (selegiline) and/or tocopherol were administered to previously untreated parkinsonian patients and deprenyl, with or without tocopherol, was found to significantly delay the onset of disability sufficient to warrant initiation of levodopa therapy (The Parkinson Study Group 1989). Although the findings were dramatic, whether they were the result of symptomatic improvement due to potentiation of endogenous dopamine (by any of several potential mechanisms) or a really neuroprotective effect is the subject of considerable controversy (see Olanow and Caine 1992), particularly since treatment with deprenyl did not produce any significant biochemical changes to substantiate a free radical scavenging effect (Baronti et al. 1992).

In monkeys, systemic administration of MK-801, a competitive antagonist for the N-methyl-D-aspartate receptor, has been reported to prevent the development of the parkinsonian syndrome induced by MPTP, although the brain levels of MPP<sup>+</sup> were higher than in monkeys receiving MPTP alone (Zuddas et al. 1992). If excitotoxins play a significant role in the degenerative

process in Parkinson's disease, then another approach to neuroprotection may become important.

### NEURAL TISSUE IMPLANTS IN PARKINSON'S DISEASE

During the last decade, neural grafting has emerged as an experimental means for repairing damaged neuronal systems in the central nervous system of humans. Initial studies were performed in rats with lesions produced by 6-hydroxydopamine. More recently, monkeys treated systemically with MPTP or made hemiparkinsonian by intracarotid injection of the neurotoxin have provided a primate model of Parkinson's disease. In rats, developing dopamine brain cells, obtained from the substantia nigra region of embryonic cadavers, implanted into the striatum were found to reinnervate part of the previously denervated striatum and to restore dopamine turnover and release to near-normal levels. In both rats and monkeys, fetal nigral grafts reverse, at least in part, parkinsonian symptoms (Lindvall 1991). Although autologous adrenal medullary grafts in patients with Parkinson's disease had been reported to be beneficial, initial enthusiasm for this procedure has waned in the light of further experience. Survival of the grafted tissue is poor and the marginal benefits are outweighed by the significant morbidity.

Human fetal mesencephalic tissue implanted into the striatum has been reported to survive in the human parkinsonian brain and produce therapeutically valuable functional effects. Most recently, two patients were reported to have shown a gradual and significant amelioration of parkinsonian symptoms starting at 6 and 12 weeks after grafting and reaching peak improvement within 4 to 5 months after which they remained stable during the 1-year follow-up period (Lindvall et al. 1992). Clinical improvement, observed as a reduction of the time spent in the "off" phase and the number of daily "off periods." There was a lessening of bradykinesia and rigidity, mainly, but not solely, on the side contralateral to the graft. Assessment by positron emission tomography with 6-L-[<sup>18</sup>F]fluorodopa provided evidence that dopamine synthesis and storage in the grafted area had been restored and taken with animal experimental data, suggest that neural transplantation can be developed into an effective therapy in Parkinson's disease.

Studies in several laboratories have established that implantation of fetal dopamine-containing tissue into the caudate nucleus of MPTP-parkinsonian monkeys leads to behavioral recovery. Similar recovery can be obtained, however, with fetal nervous tissue that does not contain dopamine-producing cells (Bankiewicz et al. 1991). In that study, implant-induced improvement

was stable for up to 6 months and post-mortem examination revealed sprouted dopaminergic fibers. The fibers appeared to be derived from dopamine neurons surviving in regions less affected by MPTP, suggesting that implant-induced and trophic factor-mediated dopaminergic sprouting by the host brain neurons could play a role in the behavioral recovery and clinical improvement seen in parkinsonian patients after brain implants.

It is clear that further work is necessary to establish the mechanisms of the effects and to optimize the transplantation procedure. It is possible that cells genetically engineered to produce dopamine or neurotrophic factors will prove to be of use in replacing dopamine, restoring dopaminergic innervation or arresting progression of the degenerative process.

### Summary

The saga of research on Parkinson's disease begins with astute clinical observation and includes a pandemic of encephalitis and toxin-induced parkinsonism in young chemists and drug abusers. These tragic events provided incentives and clues for progress in understanding parkinsonism from all causes. The remarkable advances in the neurosciences have contributed enormously to elucidating the pathophysiology of the disorder and have provided the basis for the introduction of levodopa, the first rational treatment of a disorder of the central nervous system. Newer understandings of the role of growth and of neurotrophic factors, of oxidative stress, and of molecular biology, as well as use of brain tissue implants as means for treatment of Parkinson's disease, are pacing the advances in the exciting search for means of preventing and arresting the progression as well as providing symptomatic treatment of degenerative diseases of the nervous system.

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